

COEFFICIENTS IN POWERS OF THE LOG SERIES

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Abstract

We determine the *p*-exponent in many of the coefficients of $\ell(x)^t$, where $\ell(x)$ is the power series for $\log(1+x)/x$ and t is any integer. In our proof, we introduce a variant of multinomial coefficients. We also characterize the power series $x/\log(1+x)$ by certain zero coefficients in its powers.

1. Main Divisibility Theorem

The divisibility by primes of the coefficients in the integer powers $\ell(x)^t$ of the power series for $\log(1+x)/x$, given by

$$\ell(x) := \sum_{i=0}^{\infty} (-1)^i \frac{x^i}{i+1},$$

has been applied in several ways in algebraic topology. See, for example, [1] and [4]. Our main divisibility result, Theorem 1, says that, in an appropriate range, this divisibility is the same as that of the coefficients of $(1 \pm \frac{x^{p-1}}{p})^t$. Here p is any prime and t is any integer, positive or negative. We denote by $\nu_p(-)$ the exponent of p in an integer and by $[x^n]f(x)$ the coefficient of x^n in a power series f(x).

Theorem 1. If t is any integer and $1 \le m \le p^{\nu_p(t)}$, then

$$\nu_p\left([x^{(p-1)m}]\ell(x)^t\right) = \nu_p(t) - \nu_p(m) - m.$$

Thus, for example, if $\nu_3(t)=2$, then, for $m=1,\ldots,9$, the exponent of 3 in $[x^{2m}]\ell(x)^t$ is, respectively, 1, 0, -2, -2, -3, -5, -5, -6, and -9, which is the same as in $(1\pm\frac{x^2}{3})^t$. In Section 3, we will discuss what we can say about $\nu_p([x^n]\ell(x)^t)$ when n is not divisible by (p-1) and $n<(p-1)p^{\nu_p(t)}$.

The motivation for Theorem 1 was provided by ongoing work which seeks to apply the result when p=2 to make more explicit some nonimmersion results for

complex projective spaces described in [4]. The coefficients studied here can be directly related to Stirling numbers and generalized Bernoulli numbers ([3, Chapter 6]), but it seems that our divisibility results are new in any of these contexts.

Proving Theorem 1 led the author to discover an interesting modification of multinomial coefficients.

Definition 2. For an ordered r-tuple of nonnegative integers (i_1, \ldots, i_r) , not all 0, we define

$$c(i_1,\ldots,i_r):=\frac{(\sum i_j j)(\sum i_j-1)!}{i_1!\cdots i_r!}.$$

Note that $c(i_1, \ldots, i_r)$ equals $(\sum i_j j) / \sum i_j$ times a multinomial coefficient. Surprisingly, these numbers satisfy the same recursive formula as multinomial coefficients.

Definition 3. For positive integers $k \leq r$, let E_k denote the ordered r-tuple whose only nonzero entry is a 1 in position k.

Proposition 4. If $I = (i_1, ..., i_r)$ is an ordered r-tuple of nonnegative integers with $\sum i_j > 1$, then

$$c(I) = \sum_{i_k > 0} c(I - E_k). \tag{1}$$

If we think of a multinomial coefficient $\binom{\sum i_j}{i_1, \dots, i_r} := (i_1 + \dots + i_r)!/((i_1)! \dots (i_r)!)$ as being determined by the unordered r-tuple (i_1, \dots, i_r) of nonnegative integers, then it satisfies the recursive formula analogous to that of (1). For a multinomial coefficient, entries which are 0 can be omitted, but that is not the case for $c(i_1, \dots, i_r)$.

Proof of Proposition 4. The right hand side of (1) equals

$$\sum_{k} i_{k} \frac{\left(\sum i_{j} - 2\right)!}{(i_{1})! \cdots (i_{r})!} \left(\sum_{j} i_{j} j - k\right)$$

$$= \frac{\left(\sum i_{j} - 2\right)!}{(i_{1})! \cdots (i_{r})!} \left(\left(\sum i_{k}\right) \left(\sum i_{j} j\right) - \sum i_{k} k\right)$$

$$= \frac{\left(\sum i_{j} - 2\right)!}{(i_{1})! \cdots (i_{r})!} \left(\sum i_{j} j\right) \left(\sum i_{j} - 1\right),$$

which equals the left hand side of (1).

Corollary 5. If $\sum i_j > 0$, then $c(i_1, \ldots, i_r)$ is a positive integer.

Proof. Use (1) recursively to express $c(i_1,\ldots,i_r)$ as a sum of various $c(E_k)=k$. \square

Corollary 6. For any ordered r-tuple (i_1, \ldots, i_r) of nonnegative integers and any prime p,

 $\nu_p\left(\sum i_j\right) \le \nu_p\left(\sum i_j j\right) + \nu_p\left(\sum_{i_1, \dots, i_r} i_j\right). \tag{2}$

Proof. Multiply numerator and denominator of the definition of $c(i_1, \ldots, i_r)$ by $\sum i_j$ and apply Corollary 5.

The proof of Theorem 1 utilizes Corollary 6 and also the following lemma.

Lemma 7. If t is any integer and $\sum i_j \leq p^{\nu_p(t)}$, then

$$\nu_p \binom{t}{t - \sum i_j, i_1, \dots, i_r} = \nu_p(t) + \nu_p \binom{\sum i_j}{i_1, \dots, i_r} - \nu_p \left(\sum i_j\right). \tag{3}$$

Proof. For any integer t, the multinomial coefficient on the left hand side of (3) equals $t(t-1)\cdots(t+1-\sum i_j)/\prod i_j!$, and so the left hand side of (3) equals $\nu_p(t(t-1)\cdots(t+1-\sum i_j))-\sum \nu_p(i_j!)$. Since $\nu_p(t-s)=\nu_p(s)$ provided $0< s< p^{\nu_p(t)}$, this becomes $\nu_p(t)+\nu_p((\sum i_j-1)!)-\sum \nu_p(i_j!)$, and this equals the right hand side of (3).

Proof of Theorem 1. By the multinomial theorem,

$$[x^{(p-1)m}]\ell(x)^t = (-1)^{(p-1)m} \sum_I T_I,$$

where

$$T_I = {t \choose t - \sum i_j, i_1, \dots, i_r} \frac{1}{\prod (j+1)^{i_j}}, \tag{4}$$

with the sum taken over all $I = (i_1, \ldots, i_r)$ satisfying $\sum i_j j = (p-1)m$. Using Lemma 7, we have

$$\nu_p(T_I) = \nu_p(t) + \nu_p\left(\frac{\sum i_j}{i_1, \dots, i_r}\right) - \nu_p\left(\sum i_j\right) - \sum i_j \nu_p(j+1).$$

If $I=mE_{p-1}$, then $\nu_p(T_I)=\nu_p(t)+0-\nu_p(m)-m$. The theorem will follow once we show that all other I with $\sum i_j j=(p-1)m$ satisfy $\nu_p(T_I)>\nu_p(t)-\nu_p(m)-m$. Such I must have $i_j>0$ for some $j\neq p-1$. This is relevant because $\frac{1}{p-1}j\geq \nu_p(j+1)$ with equality if and only if j=p-1. For I such as we are considering, we have

$$\nu_{p}(T_{I}) - (\nu_{p}(t) - \nu_{p}(m) - m)$$

$$= \nu_{p} \left(\sum_{i_{1}, \dots, i_{r}} i_{j} \right) - \nu_{p} \left(\sum_{i_{j}} i_{j} \right) - \sum_{j} i_{j} \nu_{p}(j+1) + \nu_{p} \left(\sum_{j} i_{j} i_{j} \right) + \frac{1}{p-1} \sum_{j} i_{j} i_{j}$$

$$\geq \sum_{j} i_{j} \left(\frac{1}{p-1} j - \nu_{p}(j+1) \right)$$

$$> 0.$$
(5)

We have used (2) in the middle step.

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2. Zero Coefficients

While studying coefficients related to Theorem 1, we noticed the following result about occurrences of coefficients of powers of the reciprocal log series which equal 0.

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Theorem 8. If m is odd and m > 1, then $[x^m] \left(\frac{x}{\log(1+x)}\right)^m = 0$, while if m is even and m > 0, then $[x^{m+1}] \left(\frac{x}{\log(1+x)}\right)^m = 0$.

Moreover, this property characterizes the reciprocal log series.

Corollary 9. A power series $f(x) = 1 + \sum_{i \geq 1} c_i x^i$ with $c_1 \neq 0$ has $[x^m](f(x)^m) = 0$ for all odd m > 1 and $[x^{m+1}](f(x)^m) = 0$ for all even m > 0 if and only if $f(x) = \frac{2c_1 x}{\log(1+2c_1 x)}$.

Proof. By Theorem 8, the reciprocal log series satisfies the stated property. Now assume that f satisfies this property and let n be a positive integer and $\epsilon=0$ or 1. Since

$$[x^{2n+1}]f(x)^{2n+\epsilon} = (2n+\epsilon)(2n+\epsilon-1)c_1c_{2n} + (2n+\epsilon)c_{2n+1} + P,$$

where P is a polynomial in c_1, \ldots, c_{2n-1} , we see that c_{2n} and c_{2n+1} can be determined from the c_i with i < 2n.

Our proof of Theorem 8 is an extension of arguments of [1] and [2]. It benefited from ideas of Francis Clarke. The theorem can be derived from results in [3, Chapter 6], but we have not seen it explicitly stated anywhere.

Proof of Theorem 8. Let m > 1 and

$$\left(\frac{x}{\log(1+x)}\right)^m = \sum_{i>0} a_i x^i.$$

Letting $x = e^y - 1$, we obtain

$$\left(\frac{e^y - 1}{y}\right)^m = \sum_{i \ge 0} a_i (e^y - 1)^i.$$
 (6)

Let j be a positive integer, and multiply both sides of (6) by $y^m e^y/(e^y - 1)^{j+1}$, obtaining

$$(e^{y} - 1)^{m-j-1}e^{y} = y^{m} \sum_{i \ge 0} a_{i}(e^{y} - 1)^{i-j-1}e^{y}$$

$$= y^{m} \left(a_{j} \frac{e^{y}}{e^{y} - 1} + \sum_{i \ne j} \frac{a_{i}}{i-j} \frac{d}{dy}(e^{y} - 1)^{i-j} \right).$$

$$(7)$$

Since the derivative of a Laurent series has no y^{-1} -term, we conclude that the coefficient of y^{m-1} on the right-hand side of (7) is $a_j[y^{-1}](1+\frac{1}{y}\frac{y}{e^y-1})=a_j$.

The Bernoulli numbers B_n are defined by $\frac{y}{e^y-1} = \sum \frac{B_n}{n!} y^n$. Since $\frac{y}{e^y-1} + \frac{1}{2}y$ is an even function of y, we have the well-known result that $B_n = 0$ if n is odd and n > 1.

Let

$$j = \begin{cases} m & m \text{ odd} \\ m+1 & m \text{ even.} \end{cases}$$

For this j, the left-hand side of (7) equals

$$\begin{cases} 1 + \sum \frac{B_i}{i!} y^{i-1} & m \text{ odd} \\ -\frac{d}{dy} (e^y - 1)^{-1} = -\sum \frac{(i-1)B_i}{i!} y^{i-2} & m \text{ even,} \end{cases}$$

and comparison with the coefficient of y^{m-1} in (7) implies

$$\begin{cases} a_m = \frac{B_m}{m!} = 0 & m \text{ odd} \\ a_{m+1} = -\frac{mB_{m+1}}{(m+1)!} = 0 & m \text{ even,} \end{cases}$$

yielding the theorem.

3. Other Coefficients

In this section, a sequel to Theorem 1, we describe what can be easily said about $\nu_p([x^{(p-1)m+\Delta}]\ell(x)^t)$ when $0 < \Delta < p-1$ and $m < p^{\nu_p(t)}$. This is not relevant in the motivating case, p=2. Our first result says that these exponents are at least as large as those of $[x^{(p-1)m}]\ell(x)^t$. Here t continues to denote any integer, positive or negative.

Proposition 10. If $0 < \Delta < p-1$ and $m < p^{\nu_p(t)}$, then

$$\nu_p\left([x^{(p-1)m+\Delta}]\ell(x)^t\right) \ge \nu_p(t) - \nu_p(m) - m.$$

Proof. We consider terms T_I as in (4) with $\sum i_j j = (p-1)m + \Delta$. Similarly to (5), we obtain

$$\nu_{p}(T_{I}) - (\nu_{p}(t) - \nu_{p}(m) - m) = \nu_{p} \left(\sum_{i_{1}, \dots, i_{r}} \right) - \nu_{p} \left(\sum_{i_{j}} i_{j} \right) - \sum_{i_{j}} i_{j} \nu_{p}(j+1) + \nu_{p}(m) + m.$$
(8)

We wish to show that this is nonnegative.

For $I = (i_1, ..., i_r)$, let

$$\widetilde{\nu}_{p}(I) := \nu_{p} \left(\sum_{i_{1}, \dots, i_{r}} \sum_{r} i_{j} \right) - \nu_{p} \left(\sum_{i_{j}} i_{j} \right) \\
= \nu_{p} \left(\frac{1}{i_{j}} \left(\sum_{i_{1}, \dots, i_{j}} i_{j} - 1, \dots, i_{r} \right) \right),$$

for any j. Thus

$$\widetilde{\nu}_p(I) \ge -\min_i \nu_p(i_j).$$
(9)

Ignoring the term $\nu_p(m)$, the expression (8) is

$$\geq \widetilde{\nu}_p(I) + \sum_{j} i_j(\frac{1}{p-1}j - \nu_p(j+1)) - \frac{\Delta}{p-1}.$$
 (10)

Note that

$$\sum i_j (\frac{1}{p-1} j - \nu_p (j+1)) - \frac{\Delta}{p-1} = m - \sum i_j \nu_p (j+1)$$

is an integer and is greater than -1, and hence is ≥ 0 . Thus we are done if $\widetilde{\nu}_p(I) \geq 0$. Now suppose $\widetilde{\nu}_p(I) = -e$ with e > 0. By (9), all i_j are divisible by p^e . Thus $(p-1)\sum i_j(\frac{1}{p-1}j-\nu_p(j+1))$ is a positive integer and divisible by p^e . Hence it is $\geq p^e$. Therefore, (10) is an integer which is strictly greater than $-e + \frac{p^e}{p-1} - 1 = -e + \sum_{k=1}^{e-1} p^k + \frac{1}{p-1}$. Since it is an integer, we can replace the $\frac{1}{p-1}$ by 1, and obtain

the nonnegative expression $\sum_{k=0}^{e-1} (p^k - 1)$. We obtain the desired conclusion, that, for each I, (10), and hence (8), is > 0.

Finally, we address the question of when does equality occur in Proposition 10. We give a three-part result, but by the third it becomes clear that obtaining additional results is probably more trouble than it is worth.

Proposition 11. In Proposition 10,

- (a) the inequality is strict (\neq) if $m \equiv 0$ (p);
- (b) equality holds if $\Delta = 1$ and $m \not\equiv 0, 1$ (p);
- (c) if $\Delta = 2$ and $m \not\equiv 0, 2$ (p), then equality holds if and only if $3m \not\equiv 5$ (p).

Proof. We begin as in the proof of Proposition 10, and note that, using (2), the value of (8) is greater than or equal to

$$\nu_p(m) - \frac{\Delta}{p-1} + \sum_{j} i_j \left(\frac{1}{p-1} j - \nu_p(j+1) \right) - \nu_p((p-1)m + \Delta). \tag{11}$$

(a) If $\nu_p(m) > 0$, then $\nu_p((p-1)m + \Delta) = 0$ and so (11) is greater than 0.

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In (b) and (c), we exclude consideration of the case where $m \equiv \Delta$ (p) because then $\nu_p((p-1)m + \Delta) > 0$ causes complications.

(b) If $\Delta = 1$ and $m \not\equiv 0, 1$ (p), then for $I = E_1 + mE_{p-1}$, (8) equals

$$\nu_p(m+1) - \nu_p(m+1) - m + \nu_p(m) + m = 0,$$

while for other I, (11) is

$$0 - \frac{1}{p-1} + \sum_{j=1}^{n} i_j \left(\frac{1}{p-1} j - \nu_p(j+1) \right) > 0.$$

(c) Assume $\Delta = 2$ and $m \not\equiv 0, 2$ (p). Then

$$T_{2E_1+mE_{p-1}} + T_{E_2+mE_{p-1}} = \frac{t(t-1)\cdots(t-m-1)}{2!m!} \frac{1}{4p^m} + \frac{t(t-1)\cdots(t-m)}{m!} \frac{1}{3p^m}$$
$$= (-1)^m \frac{t}{p^m} (\frac{1}{8}(-m-1+A) + \frac{1}{3}(1+B))$$
$$= (-1)^m \frac{t}{24p^m} (-3m+5+(3A+8B)). \tag{12}$$

Here A and B are rational numbers which are divisible by p. This is true because $\nu_p(t) > \nu_p(i)$ for all $i \leq m$. Since p > 3, (12) has p-exponent greater than or equal to $\nu_p(t) - m$, with equality if and only if $3m - 5 \not\equiv 0$ (p). Using (11), the other terms T_I satisfy

$$\nu_p(T_I) - (\nu_p(t) - m) \ge \sum_{j} i_j (\frac{1}{p-1}j - \nu_p(j+1)) - \frac{2}{p-1} > 0.$$

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