

# CONGRUENCES FOR 1-SHELL TOTALLY SYMMETRIC PLANE PARTITIONS

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Received: 4/7/16, Accepted: 5/8/17, Published: 5/24/17

# Abstract

Let f(n) denote the number of 1-shell totally symmetric plane partitions of weight n. Recently, Hirschhorn and Sellers, Yao, and Xia established a number of congruences modulo 2 and 5, 4 and 8, and 25 for f(n), respectively. In this note, we shall prove several new congruences modulo 125 and 11 by using some results of modular forms. For example, for all  $n \ge 0$ , we have

> $f(1250n + 125) \equiv 0 \pmod{125},$   $f(1250n + 1125) \equiv 0 \pmod{125},$   $f(2750n + 825) \equiv 0 \pmod{11},$  $f(2750n + 1925) \equiv 0 \pmod{11}.$

## 1. Introduction

A plane partition is a two-dimensional array of integers  $\pi_{i,j}$  that are weakly decreasing in both indices and that add up to the given number n, namely,  $\pi_{i,j} \geq \pi_{i+1,j}$ ,  $\pi_{i,j} \geq \pi_{i,j+1}$ , and  $\sum \pi_{i,j} = n$ . If a plane partition is invariant under any permutation of the three axes, we call it a totally symmetric plane partition (see, e.g., Andrews *et al.* [1] and Stembridge [7] for more details). In 2012, Blecher [3] studied a special class of totally symmetric plane partitions which he called 1-shell totally symmetric plane partitions. A 1-shell totally symmetric plane partition has a selfconjugate first row/column (as an ordinary partition) and all other entries are 1. For example,

is a totally symmetric plane partition.

Let f(n) denote the number of 1-shell totally symmetric plane partitions of weight n, namely, the parts of the totally symmetric plane partition sum to n. In [3], Blecher found the generating function of f(n),

$$\sum_{n \ge 0} f(n)q^n = 1 + \sum_{n \ge 1} q^{3n-2} \prod_{i=0}^{n-2} \left( 1 + q^{6i+3} \right).$$

Recently, Hirschhorn and Sellers [4], Yao [9], and Xia [8] established a number of congruences for f(n), respectively. For example, for all  $n \ge 0$ , Hirschhorn and Sellers proved that

$$f(10n+5) \equiv 0 \pmod{5},\tag{1}$$

while Xia proved that

$$f(250n + 125) \equiv 0 \pmod{25}.$$
 (2)

Moreover, Yao showed that, for all  $n \ge 0$ ,

$$f(8n+3) \equiv 0 \pmod{4}.$$
(3)

In this note, we shall prove several new congruences modulo 125 and 11 for f(n). Here our methods are based on some results of modular forms, which are quite different from the proofs of the previous congruences. In fact, Radu and Sellers gave a strategy in [6] to prove these Ramanujan-like congruences, and their methods can be tracked back to [5]. Our results are stated as follows.

**Theorem 1.** For all  $n \ge 0$ , we have

$$f(1250n + 125) \equiv 0 \pmod{125} \tag{4}$$

and

$$f(1250n + 1125) \equiv 0 \pmod{125}.$$
 (5)

**Theorem 2.** For all  $n \ge 0$ , we have

$$f(2750n + 825) \equiv 0 \pmod{11} \tag{6}$$

and

$$f(2750n + 1925) \equiv 0 \pmod{11}.$$
 (7)

By (1) and Theorem 2, we immediately get

**Theorem 3.** For all  $n \ge 0$ , we have

$$f(2750n + 825) \equiv 0 \pmod{55}$$
(8)

and

$$f(2750n + 1925) \equiv 0 \pmod{55}.$$
 (9)

#### 2. Preliminaries

We first introduce some notations of [6]. Let M be a positive integer. We denote by R(M) the set of integer sequences  $\{r : r = (r_{\delta_1}, \ldots, r_{\delta_k})\}$  indexed by the positive divisors  $1 = \delta_1 < \cdots < \delta_k = M$  of M. For a positive integer m, let  $[s]_m$  be the set of all elements congruent to s modulo m. We also write  $\mathbb{Z}_m^*$  the set of all invertible elements in  $\mathbb{Z}_m$ , and  $\mathbb{S}_m$  the set of all squares in  $\mathbb{Z}_m^*$ . For  $t \in \{0, \ldots, m-1\}$ , we define by  $\overline{\odot}_r$  the map  $\mathbb{S}_{24m} \times \{0, \ldots, m-1\} \rightarrow \{0, \ldots, m-1\}$  with

$$([s]_{24m}, t) \mapsto [s]_{24m}\overline{\odot}_r t \equiv ts + \frac{s-1}{24} \sum_{\delta \mid M} \delta r_\delta \pmod{m}.$$

Furthermore, we put  $P_{m,r}(t) := \{ [s]_{24m} \overline{\odot}_r t \mid [s]_{24m} \in \mathbb{S}_{24m} \}.$ 

Let  $\Gamma := SL_2(\mathbb{Z})$  and  $\Gamma_{\infty} := \left\{ \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \middle| h \in \mathbb{Z} \right\}$ . For a positive integer N, we define the congruence subgroup of level N as

$$\Gamma_0(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \mid c \equiv 0 \pmod{N} \right\}.$$

We also know that

$$[\Gamma : \Gamma_0(N)] = N \prod_{p|N} (1+p^{-1}),$$

where the product runs through the distinct prime numbers dividing N.

Now denote by  $\Delta^*$  the set of tuples  $(m, M, N, t, r = (r_{\delta}))$  which satisfy conditions given in [6, p. 2255]<sup>1</sup>. Let  $\kappa = \kappa(m) = \gcd(m^2 - 1, 24)$ . For  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, r \in R(M)$ , and  $r' \in R(N)$ , we set

$$p_{m,r}(\gamma) = \min_{\lambda \in \{0,\dots,m-1\}} \frac{1}{24} \sum_{\delta \mid M} r_{\delta} \frac{\gcd^2(\delta(a + \kappa\lambda c), mc)}{\delta m}$$

<sup>&</sup>lt;sup>1</sup>According to a private communication between the author and S. Radu, the last condition of  $\Delta^*$  should read: "for  $(s, j) = \pi(M, (r_{\delta}))$ , if  $2 \mid m$ , we have  $(4 \mid \kappa N \text{ and } 8 \mid Ns)$  or  $(2 \mid s \text{ and } 8 \mid N(1-j))$ ."

and

$$p_{r'}^*(\gamma) = \frac{1}{24} \sum_{\delta \mid N} \frac{r_{\delta}' \operatorname{gcd}^2(\delta, c)}{\delta}.$$

Finally, we write  $(a;q)_{\infty} := \prod_{n \ge 0} (1 - aq^n)$ , and let

$$f_r(q) := \prod_{\delta \mid M} (q^{\delta}; q^{\delta})_{\infty}^{r_{\delta}} = \sum_{n \ge 0} c_r(n) q^n$$

for some  $r \in R(M)$ . The following lemma (see [5, Lemma 4.5] or [6, Lemma 2.4]) is a key to our proof.

**Lemma 1.** Let u be a positive integer,  $(m, M, N, t, r = (r_{\delta})) \in \Delta^*$ ,  $r' = (r'_{\delta}) \in R(N)$ , n be the number of double cosets in  $\Gamma_0(N) \setminus \Gamma/\Gamma_\infty$  and  $\{\gamma_1, \ldots, \gamma_n\} \subset \Gamma$  be a complete set of representatives of the double coset  $\Gamma_0(N) \setminus \Gamma/\Gamma_\infty$ . Assume that  $p_{m,r}(\gamma_i) + p_{r'}^*(\gamma_i) \geq 0$  for all  $i = 1, \ldots, n$ . Let  $t_{\min} := \min_{t' \in P_{m,r}(t)} t'$  and

$$v := \frac{1}{24} \left( \left( \sum_{\delta \mid M} r_{\delta} + \sum_{\delta \mid N} r_{\delta}' \right) \left[ \Gamma : \Gamma_0(N) \right] - \sum_{\delta \mid N} \delta r_{\delta}' \right) - \frac{1}{24m} \sum_{\delta \mid M} \delta r_{\delta} - \frac{t_{\min}}{m}.$$

Then if

$$\sum_{n=0}^{\lfloor v \rfloor} c_r(mn+t')q^n \equiv 0 \pmod{u}$$

for all  $t' \in P_{m,r}(t)$ , then

$$\sum_{n \ge 0} c_r (mn + t') q^n \equiv 0 \pmod{u}$$

for all  $t' \in P_{m,r}(t)$ .

# 3. Proofs of the Theorems

# 3.1. The Upper Bound

In the first part of our proofs, we will compute the upper bound of  $\lfloor v \rfloor$  in Lemma 1 for each theorem. Let g(n) be given by

$$\sum_{n \ge 0} g(n)q^n := \frac{(q^2; q^2)_{\infty}^3}{(q; q)_{\infty}^2}.$$
 (10)

In [4], Hirschhorn and Sellers proved that

$$f(6n+1) = g(n).$$
(11)

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Moreover, we write

$$\sum_{n \ge 0} g_{\alpha,p}(n) q^n := \frac{(q;q)_{\infty}^{p^{\alpha}-2} (q^2;q^2)_{\infty}^3}{(q^p;q^p)_{\infty}^{p^{\alpha-1}}},$$
(12)

where  $\alpha$  is a positive integer and p is prime. By [6, Lemma 1.2], we obtain

$$\sum_{n\geq 0} g_{\alpha,p}(n)q^n \equiv \sum_{n\geq 0} g(n)q^n \pmod{p^{\alpha}}.$$
(13)

Note that [4, Theorem 2.1] tells that f(n) = 0 if  $n \equiv 0, 2 \pmod{3}$  for all  $n \ge 1$ . We therefore have  $f(1250 \cdot 3n + 125) = f(1250 \cdot (3n + 2) + 125) = 0$ . To prove (4), it suffices to prove  $f(3750n + 1375) = f(1250 \cdot (3n + 1) + 125) \equiv 0 \pmod{125}$ , which yields

$$g_{3,5}(625n + 229) \equiv 0 \pmod{125}.$$
(14)

Similarly, to prove (5), (6), and (7), we only need to prove

$$g_{3,5}(625n + 604) \equiv 0 \pmod{125},\tag{15}$$

$$g_{1,11}(1375n + 1054) \equiv 0 \pmod{11},\tag{16}$$

and

$$g_{1,11}(1375n + 779) \equiv 0 \pmod{11},\tag{17}$$

respectively.

Let

$$r^{(\alpha,p)} := (r_1, r_2, r_p, r_{2p}) = (p^{\alpha} - 2, 3, -p^{\alpha-1}, 0) \in R(2p).$$

By the definition of  $P_{m,r}(t)$ , we have

$$P_{m,r^{(\alpha,p)}}(t) = \{t' \mid t' \equiv ts + (s-1)/6 \pmod{m}, 0 \le t' \le m-1, [s]_{24m} \in \mathbb{S}_{24m} \}.$$

One readily verifies  $P_{625,r^{(3,5)}}(229) = \{229, 604\}$ . Next we set

$$(m, M, N, t, r = (r_1, r_2, r_5, r_{10})) = (625, 10, 10, 229, (123, 3, -25, 0)) \in \Delta^*$$

and

$$r' = (r'_1, r'_2, r'_5, r'_{10}) = (13, 0, 0, 0).$$

Moreover, by [6, Lemma 2.6],  $\{\gamma_{\delta} : \delta \mid N\}$  contains a complete set of representatives of the double coset  $\Gamma_0(N) \setminus \Gamma/\Gamma_{\infty}$  where  $\gamma_{\delta} = \begin{pmatrix} 1 & 0 \\ \delta & 1 \end{pmatrix}$ . One may see that all these constants satisfy the assumption of Lemma 1. We therefore obtain  $\lfloor v \rfloor = 84$ .

To get the upper bound  $\lfloor v \rfloor$  for Theorem 2, we have  $P_{1375,r^{(1,11)}}(1054) = \{779, 1054\}$ . Similarly, we can compute other relevant constants of (16) and (17), which are listed in Table 3.1.

$$\begin{split} P_{1375,r^{(1,11)}}(1054) &= \{779,1054\} \\ (m,M,N,t,r = (r_1,r_2,r_{11},r_{22})) &= (1375,22,110,1054,(9,3,-1,0)) \\ r' &= (r_1',r_2',r_5',r_{10}',r_{11}',r_{22}',r_{55}',r_{110}') = (6,0,0,0,0,0,0,0) \\ \lfloor v \rfloor &= 152 \end{split}$$

Table 1: Relevant constants of (16) and (17)

#### 3.2. Simplifying the Verification

We should notice that as n approaches the upper bound  $\lfloor v \rfloor$  in both theorems, the verification will become difficult. Hence we provide a method that can simplify the calculation. First, we notice that

$$\sum_{n \ge 0} g(n)q^n = \frac{(q^2; q^2)_\infty^3}{(q; q)_\infty^2} = \frac{1}{(q^2; q^2)_\infty} \left(\frac{(q^2; q^2)_\infty^2}{(q; q)_\infty}\right)^2.$$
(18)

From [2, Chapter 16, Entry 22(ii)] we know that

$$\frac{(q^2; q^2)_{\infty}^2}{(q; q)_{\infty}} = \sum_{n \ge 0} q^{T_n},$$

where  $T_n = n(n+1)/2$  is the triangular number. Now we write

$$\left(\frac{(q^2;q^2)_\infty^2}{(q;q)_\infty}\right)^2 = \sum_{n\ge 0} a(n)q^n.$$

Then

$$a(n) = \sharp \left\{ (n_1, n_2) \in (\mathbb{N} \cup \{0\})^2 : n = T_{n_1} + T_{n_2} \right\}$$

Notice that  $n = T_{n_1} + T_{n_2}$  implies  $8n + 2 = (2n_1 + 1)^2 + (2n_2 + 1)^2$ . Now if 8n + 2 is a sum of two squares, then both the squares are odd. This is because a square is congruent to 0, 1, 4 modulo 8. We therefore have

$$4a(n) = r(8n+2),$$

where r(n) denotes the number of representations of n by two squares. For example, r(5) = 8 since  $5 = (\pm 1)^2 + (\pm 2)^2 = (\pm 2)^2 + (\pm 1)^2$ .

Let p(n) be the partition function given by

$$\sum_{n\geq 0} p(n)q^n := \frac{1}{(q;q)_{\infty}}.$$

It follows by (18) that

$$g(n) = \sum_{\substack{2i+j=n\\i,j\ge 0}} p(i)a(j) = \frac{1}{4} \sum_{\substack{2i+j=n\\i,j\ge 0}} p(i)r(8j+2).$$

Note that p(n) and r(n) are computable by *Mathematica* via functions PartitionsP and SquaresR, respectively. Thus we can complete our verification with much less time. In fact, with the help of *Mathematica*, we see that (14) and (15) hold up to the bound  $\lfloor v \rfloor = 84$ , and thus they hold for all  $n \ge 0$  by Lemma 1. This completes our proof of Theorem 1. We also end our proof of Theorem 2 by a similar verification.

Acknowledgments The author thanks S. Radu for interpreting the definition of  $\Delta^*$ .

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