

15.1/18

$$f(x, y) = (x+y)(xy+1)$$

$$f_x(x, y) = (x+y)y + (xy+1) = 2xy + y^2 + 1$$

$$f_y(x, y) = (x+y)x + (xy+1) = 2xy + x^2 + 1$$

$f$  is differentiable for all  $(x, y)$ , so the only critical points are where  $f_x = 0$  and  $f_y = 0$ .

$$\Rightarrow \textcircled{1} \quad 2xy + y^2 + 1 = 0 \quad \text{and} \quad \textcircled{2} \quad 2xy + x^2 + 1 = 0$$

subtract:  $y^2 - x^2 = 0 \Rightarrow y = x$  or  $y = -x$

If  $y = x$ , then  $\textcircled{1}$  implies  $3y^2 = -1$ , which has no solutions.

If  $y = -x$ , then  $\textcircled{1}$  implies  $-2y^2 + y^2 + 1 = 0 \Rightarrow y^2 = 1 \Rightarrow y = \pm 1$

If  $y = 1$ , then  $x = -1$ . If  $y = -1$ , then  $x = 1$ .

So the critical points are  $(-1, 1)$  and  $(1, -1)$ .

To apply the second derivative test, we need

$$f_{xx}(x, y) = 2y, \quad f_{xy}(x, y) = 2x + 2y, \quad f_{yy}(x, y) = 2x.$$

At  $(-1, 1)$ ,

$$D = f_{xx}(-1, 1)f_{yy}(-1, 1) - (f_{xy}(-1, 1))^2 = (2)(-2) - 0^2 = -4 < 0,$$

so  $(-1, 1)$  is a saddle point.

At  $(1, -1)$ ,

$$D = f_{xx}(1, -1)f_{yy}(1, -1) - (f_{xy}(1, -1))^2 = (-2)(2) - 0^2 = -4 < 0,$$

so  $(1, -1)$  is also a saddle point.

16. Figure 15.2 shows the direction of  $\nabla f$  at the points where  $\|\nabla f\|$  is largest, since at those points the contours are closest together.

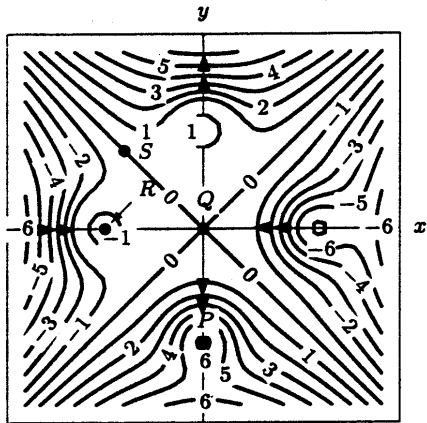


Figure 15.2

17. To find critical points, set partial derivatives equal to zero:

$$E_x = \sin x = 0 \quad \text{when } x = 0, \pm\pi, \pm2\pi, \dots$$

$$E_y = y = 0 \quad \text{when } y = 0.$$

The critical points are

$$\dots (-2\pi, 0), (-\pi, 0), (0, 0), (\pi, 0), (2\pi, 0), (3\pi, 0) \dots$$

To classify, calculate  $D = E_{xx}E_{yy} - (E_{xy})^2 = \cos x$ .

At the points  $(0, 0), (\pm2\pi, 0), (\pm4\pi, 0), (\pm6\pi, 0), \dots$

$$D = (1) > 0 \quad \text{and} \quad E_{xx} > 0 \quad (\text{Since } E_{xx}(0, 2k\pi) = \cos(2k\pi) = 1).$$

Therefore  $(0, 0), (\pm2\pi, 0), (\pm4\pi, 0), (\pm6\pi, 0), \dots$  are local minima.

At the points  $(\pm\pi, 0), (\pm3\pi, 0), (\pm5\pi, 0), (\pm7\pi, 0), \dots$ , we have  $\cos(2k + 1)\pi = -1$ , so

$$D = (-1) < 0.$$

Therefore  $(\pm\pi, 0), (\pm3\pi, 0), (\pm5\pi, 0), (\pm7\pi, 0), \dots$  are saddle points.

15.1/22

At a critical point,

$$f_x = \cos x \sin y = 0 \quad \text{so} \quad \cos x = 0 \text{ or } \sin y = 0;$$

and

$$f_y = \sin x \cos y = 0 \quad \text{so} \quad \sin x = 0 \text{ or } \cos y = 0.$$

Case 1: Assume  $\cos x = 0$ . This gives

$$x = \dots -\frac{3\pi}{2}, -\frac{\pi}{2}, \frac{\pi}{2}, \frac{3\pi}{2}, \dots$$

(This can be written more compactly as:  $x = k\pi + \pi/2$ , for  $k = 0, \pm 1, \pm 2, \dots$ )

If  $\cos x = 0$ , then  $\sin x = \pm 1 \neq 0$ . Thus in order to have  $f_y = 0$  we need  $\cos y = 0$ , giving

$$y = \dots -\frac{3\pi}{2}, -\frac{\pi}{2}, \frac{\pi}{2}, \frac{3\pi}{2}, \dots$$

(More compactly,  $y = l\pi + \pi/2$ , for  $l = 0, \pm 1, \pm 2, \dots$ )

Case 2: Assume  $\sin y = 0$ . This gives

$$y = \dots -2\pi, -\pi, 0, \pi, 2\pi, \dots$$

(More compactly,  $y = l\pi$ , for  $l = 0, \pm 1, \pm 2, \dots$ )

If  $\sin y = 0$ , then  $\cos y = \pm 1 \neq 0$ , so to get  $f_y = 0$  we need  $\sin x = 0$ , giving

$$x = \dots, -2\pi, -\pi, 0, \pi, 2\pi, \dots$$

(More compactly,  $x = k\pi$  for  $k = 0, \pm 1, \pm 2, \dots$ )

Hence we get all the critical points of  $f(x, y)$ . Those from Case 1 are as follows:

$$\begin{aligned} &\dots \left(-\frac{\pi}{2}, -\frac{\pi}{2}\right), \left(-\frac{\pi}{2}, \frac{\pi}{2}\right), \left(-\frac{\pi}{2}, \frac{3\pi}{2}\right) \dots \\ &\dots \left(\frac{\pi}{2}, -\frac{\pi}{2}\right), \left(\frac{\pi}{2}, \frac{\pi}{2}\right), \left(\frac{\pi}{2}, \frac{3\pi}{2}\right) \dots \\ &\dots \left(\frac{3\pi}{2}, -\frac{\pi}{2}\right), \left(\frac{3\pi}{2}, \frac{\pi}{2}\right), \left(\frac{3\pi}{2}, \frac{3\pi}{2}\right) \dots \end{aligned}$$

Those from Case 2 are as follows:

$$\begin{aligned} &\dots (-\pi, -\pi), (-\pi, 0), (-\pi, \pi), (-\pi, 2\pi) \dots \\ &\dots (0, -\pi), (0, 0), (0, \pi), (0, 2\pi) \dots \\ &\dots (\pi, -\pi), (\pi, 0), (\pi, \pi), (\pi, 2\pi) \dots \end{aligned}$$

More compactly these points can be written as,

$$\begin{aligned} &(k\pi, l\pi), \text{ for } k = 0, \pm 1, \pm 2, \dots, l = 0, \pm 1, \pm 2, \dots \\ &\text{and } \left(k\pi + \frac{\pi}{2}, l\pi + \frac{\pi}{2}\right), \text{ for } k = 0, \pm 1, \pm 2, \dots, l = 0, \pm 1, \pm 2, \dots \end{aligned}$$

To classify the critical points, we find the discriminant. We have

$$f_{xx} = -\sin x \sin y, \quad f_{yy} = -\sin x \sin y, \quad \text{and} \quad f_{xy} = \cos x \cos y.$$

Thus the discriminant is

$$\begin{aligned} D(x, y) &= f_{xx}f_{yy} - f_{xy}^2 \\ &= (-\sin x \sin y)(-\sin x \sin y) - (\cos x \cos y)^2 \\ &= \sin^2 x \sin^2 y - \cos^2 x \cos^2 y \\ &= \sin^2 y - \cos^2 x. \quad (\text{Use: } \sin^2 x = 1 - \cos^2 x \text{ and factor.}) \end{aligned}$$

At points of the form  $(k\pi, l\pi)$  where  $k = 0, \pm 1, \pm 2, \dots; l = 0, \pm 1, \pm 2, \dots$ , we have

$D(x, y) = -1 < 0$  so  $(k\pi, l\pi)$  are saddle points.

At points of the form  $(k\pi + \frac{\pi}{2}, l\pi + \frac{\pi}{2})$  where  $k = 0, \pm 1, \pm 2, \dots; l = 0, \pm 1, \pm 2, \dots$

$D(k\pi + \frac{\pi}{2}, l\pi + \frac{\pi}{2}) = 1 > 0$ , so we have two cases:

If  $k$  and  $l$  are both even or  $k$  and  $l$  are both odd, then

$f_{xx} = -\sin x \sin y = -1 < 0$ , so  $(k\pi + \frac{\pi}{2}, l\pi + \frac{\pi}{2})$  are local maximum points.

If  $k$  is even but  $l$  is odd or  $k$  is odd but  $l$  is even, then

$f_{xx} = 1 > 0$  so  $(k\pi + \frac{\pi}{2}, l\pi + \frac{\pi}{2})$  are local minimum points.

**EX.** To find the critical points, we must solve the equations

$$\begin{aligned} \frac{\partial f}{\partial x} &= e^x(1 - \cos y) = 0 \\ \frac{\partial f}{\partial y} &= e^x(\sin y) = 0. \end{aligned}$$

The first equation has solution

$$y = 0, \pm 2\pi, \pm 4\pi, \dots$$

The second equation has solution

$$y = 0, \pm\pi, \pm 2\pi, \pm 3\pi, \dots$$

Since  $x$  can be anything, the lines

$$y = 0, \pm 2\pi, \pm 4\pi, \dots$$

15.1/23

If  $(-2, 1)$  is a local maximum, it must be a critical point,

$$\text{so } f_x(-2, 1) = 0 \text{ and } f_y(-2, 1) = 0.$$

$$\text{Now } f_x(x, y) = -2x - B, \quad f_y(x, y) = -2y - C$$

$$\text{So } f_x(-2, 1) = 4 - B = 0 \quad f_y(-2, 1) = -2 - C = 0$$
$$B = 4 \quad C = -2$$

We want  $f(-2, 1) = 15$ , so

$$f(-2, 1) = A - (4 - 8 + 1 - 2) = 15$$

$$A = 10$$

$$\text{Thus } f(x, y) = 10 - (x^2 + 4x + y^2 - 2y)$$

Check that it really is a local max:

$$f_{xx}(x, y) = -2, \quad f_{xy}(x, y) = 0, \quad f_{yy}(x, y) = -2$$

$$f_{xx}(-2, 1) = -2, \quad f_{xy}(-2, 1) = 0, \quad f_{yy}(-2, 1) = -2$$

$$\text{Then } D = \text{~~(-2)(-2)~~} (-2)(-2) - 0^2 = 4 > 0$$

and  $f_{xx}(-2, 1) < 0$ , so  $(-2, 1)$  is a local max.

(15.1/29 is on the next page)

15.1/31

The partial derivatives are

$$f_x(x, y) = 3x^2 - 3y^2 \quad \text{and} \quad f_y(x, y) = -6xy.$$

Now  $f_x(x, y)$  will vanish if  $x = \pm y$  and  $f_y(x, y)$  will vanish if either  $x = 0$  or  $y = 0$ . Since the partial derivatives are defined everywhere, the only critical points are where  $f_x(x, y)$  and  $f_y(x, y)$  vanish simultaneously.  $(0, 0)$  is the only critical point.

To find the contour for  $f(x, y) = 0$ , we solve the equation  $x^3 - 3xy^2 = 0$ . This can be factored into

$$f(x, y) = x(x - \sqrt{3}y)(x + \sqrt{3}y) = 0$$

whose roots are  $x = 0$ ,  $x = \sqrt{3}y$  and  $x = -\sqrt{3}y$ . Each of these roots describes a line through the origin; the three of them divide the plane into six regions. Crossing any one of these lines will change the sign of only one of the three factors of  $f(x, y)$ , which will change the sign of  $f(x, y)$ .

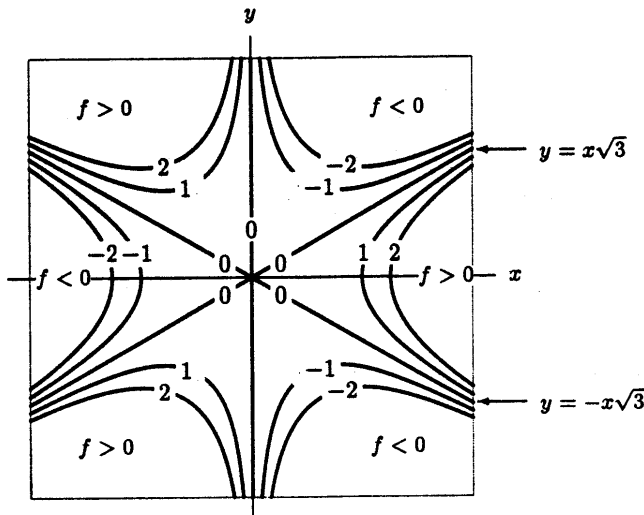


Figure 15.6

15.1/30

The first order partial derivatives are

$$f_x(x, y) = 2kx - 2y \quad \text{and} \quad f_y(x, y) = 2ky - 2x.$$

And the second order partial derivatives are

$$f_{xx}(x, y) = 2k \quad f_{xy}(x, y) = -2 \quad f_{yy}(x, y) = 2k$$

Since  $f_x(0, 0) = f_y(0, 0) = 0$ , the point  $(0, 0)$  is a critical point. The discriminant is

$$D = (2k)(2k) - 4 = 4(k^2 - 1).$$

For  $k = \pm 2$ , the discriminant is positive,  $D = 12$ . When  $k = 2$ ,  $f_{xx}(0, 0) = 4$  which is positive so we have a local minimum at the origin. When  $k = -2$ ,  $f_{xx}(0, 0) = -4$  so we have a local maximum at the origin. In the case  $k = 0$ ,  $D = -4$  so the origin is a saddle point.

Lastly, when  $k = \pm 1$  the discriminant is zero, so the second derivative test can tell us nothing. Luckily, we can factor  $f(x, y)$  when  $k = \pm 1$ . When  $k = 1$ ,

$$f(x, y) = x^2 - 2xy + y^2 = (x - y)^2.$$

This is always greater than or equal to zero. So  $f(0, 0) = 0$  is a minimum and the surface is a trough-shaped parabolic cylinder with its base along the line  $x = y$ .

When  $k = -1$ ,

$$f(x, y) = -x^2 - 2xy - y^2 = -(x + y)^2.$$

This is always less than or equal to zero. So  $f(0, 0) = 0$  is a maximum. The surface is a parabolic cylinder, with its top ridge along the line  $x = -y$ .

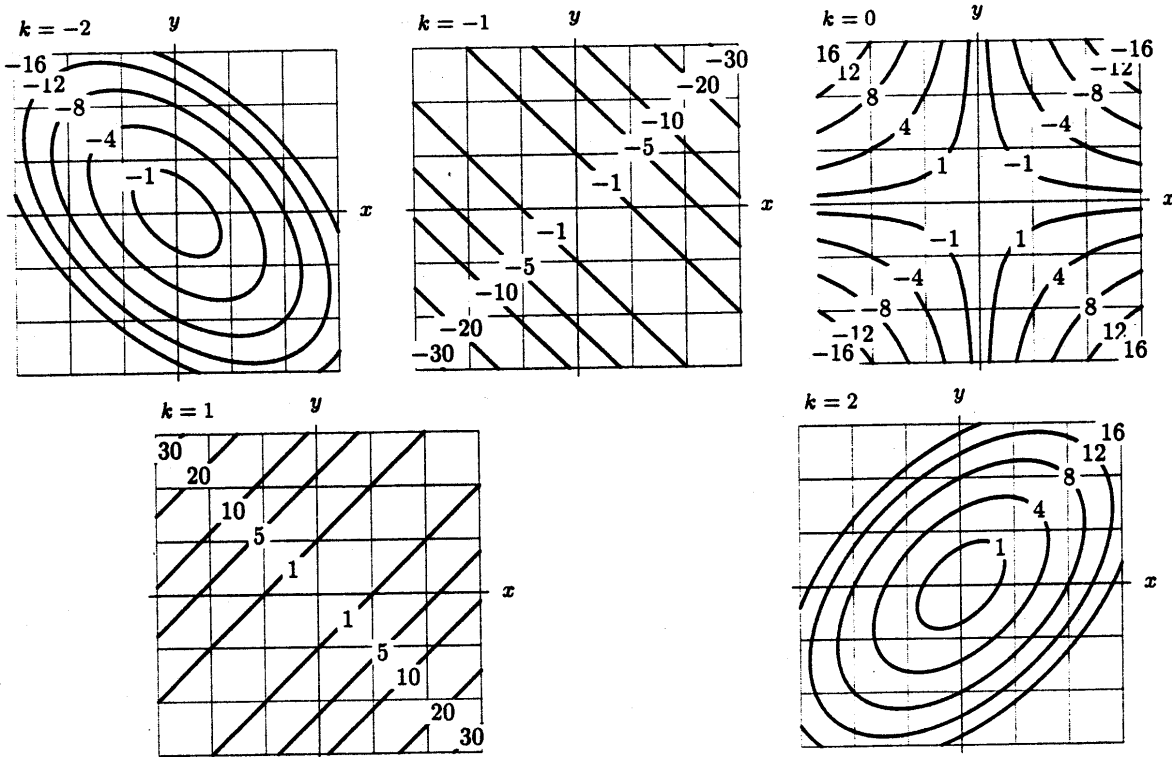


Figure 15.7

15.1/28.  
29

We have

$$f_{xx}(x, y) = 2k, \quad f_{yy}(x, y) = 2, \quad f_{xy}(x, y) = -4,$$

so

$$D = f_{xx}(0, 0)f_{yy}(0, 0) - (f_{xy}(0, 0))^2 = (2k)(2) - 16 = 4k - 16 = 4(k - 4).$$

- (a) If  $k < 4$  then  $D < 0$ , and  $(0, 0)$  is a saddle point.
- (b) Since  $f_{yy} = 2 > 0$ ,  $(0, 0)$  is not a local maximum for any value of  $k$ .
- (c) If  $k > 4$  then  $D > 0$ , and since  $f_{xx}(0, 0) = 2k > 0$ ,  $(0, 0)$  is a local minimum.

What happens if  $k = 4$ ? In this case,  $f(x, y) = 4x^2 + y^2 - 4xy = (2x - y)^2$ , so the graph of  $f$  is a parabolic cylinder that opens upwards. The minima occur along the line  $2x - y = 0$ . In this case,  $(0, 0)$  is also a local minimum (and so is any other point on the line  $2x - y = 0$ ).

New York is split by a boundary between an 80s and a 70s region, so the northern portion of the state is likely to be about 74-76 while the southern portion is likely to be in the low 80s, maybe 81-84 or so.

California contains many different zones. The northern coastal areas will probably have the daily high as low as 65-68, although without another contour on that side, it is difficult to judge how quickly the temperature is dropping off to the west. The tip of Southern California is in a 100s region, so there we expect the daily high to be 100-101.

Arizona will have a low daily high around 85-87 in the northwest corner and a high in the 100s, perhaps 102-107 in its southern regions.

Massachusetts will probably have a high daily high around 81-84 and a low daily high of 70.

15.2/3,4,5 The function  $f$  has no global maximum or global minimum;  $g$  has a global minimum (it is 0) but no global maximum;  $h$  has no global maximum or minimum.

Since  $f(x, y) \leq 0$  for all  $x, y$  and since  $f(0, 0) = 0$ , the function has a global maximum (it is 0) and no global minimum.

4. Suppose  $x$  is fixed. Then for large values of  $y$  the sign of  $f$  is determined by the highest power of  $y$ , namely  $y^3$ . Thus:

$$f(x, y) \rightarrow \infty \text{ as } y \rightarrow \infty$$

$$f(x, y) \rightarrow -\infty \text{ as } y \rightarrow -\infty.$$

So  $f$  does not have a global maximum or minimum.

5. To maximize  $z = x^2 + y^2$ , it suffices to maximize  $x^2$  and  $y^2$ . We can maximize both of these at the same time by taking the point  $(1, 1)$ , where  $z = 2$ . It occurs on the boundary of the square. (Note: We also have maxima at the points  $(-1, -1)$ ,  $(-1, 1)$  and  $(1, -1)$  which are on the boundary of the square.)

To minimize  $z = x^2 + y^2$ , we choose the point  $(0, 0)$ , where  $z = 0$ . It does not occur on the boundary of the square.

15.2/10 To maximize this function, it suffices to maximize  $x^2$  and minimize  $y^2$ . We can do this by choosing the point  $(1, 0)$ , or  $(-1, 0)$  where  $z = 1$ . These occur on the boundary of the square.

To minimize  $z = x^2 - y^2$ , it suffices to maximize  $y^2$  and minimize  $x^2$ . We can do this by taking the point  $(0, 1)$ , or  $(0, -1)$  where  $z = -1$ . These occur on the boundary of the square.

Also see the next page.

7. To maximize  $z = -x^2 - y^2$  it suffices to minimize  $x^2$  and  $y^2$ . Thus, the maximum is at  $(0, 0)$ , where  $z = 0$ . It doesn't occur on the boundary of the square.

To minimize  $z = -x^2 - y^2$ , it suffices to maximize  $x^2$  and  $y^2$ . Do this by taking the point  $(1, 1)$ ,  $(-1, -1)$ ,  $(-1, 1)$ , or  $(1, -1)$  where  $z = -2$ . These occur on the boundary of the square.

15.2/8 Let the line be in the form  $y = b + mx$ . When  $x$  equals  $-1, 0$  and  $1$ , then  $y$  equals  $b - m, b$ , and  $b + m$ , respectively. The sum of the squares of the vertical distances, which is what we want to minimize, is

$$f(m, b) = (2 - (b - m))^2 + (-1 - b)^2 + (1 - (b + m))^2.$$

To find the critical points, we compute the partial derivatives with respect to  $m$  and  $b$ ,

$$f_m = 2(2 - b + m) + 0 + 2(1 - b - m)(-1)$$

$$= 4 - 2b + 2m - 2 + 2b + 2m$$

$$= 2 + 4m,$$

$$f_b = 2(2 - b + m)(-1) + 2(-1 - b)(-1) + 2(1 - b - m)(-1)$$

$$= -4 + 2b - 2m + 2 + 2b - 2 + 2b + 2m$$

$$= -4 + 6b.$$

Setting both partial derivatives equal to zero, we get a system of equations:

$$2 + 4m = 0,$$

$$-4 + 6b = 0.$$

$$y = \frac{2}{3} - \frac{1}{2}x$$

The solution is  $m = -1/2$  and  $b = 2/3$ . One can check that it is a minimum. Hence, the regression line is  $y = \frac{2}{3} - \frac{1}{2}x$ .

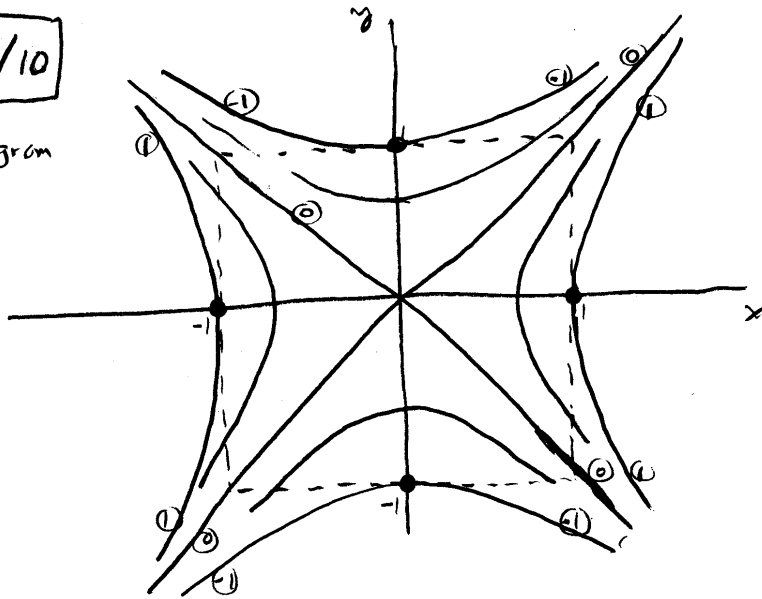
9. The maximum value, which is slightly above 30, say 30.5, occurs approximately at the origin. The minimum value, which is about 20.5, occurs at  $(2.5, 5)$ .

10. The maxima occur at about  $(\pi/2, 0)$  and  $(\pi/2, 2\pi)$ . The minimum occurs at  $(\pi/2, \pi)$ . The maximum value is about 1, the minimum value is about  $-1$ .

11. The maximum value, which is about 11, occurs at  $(5.1, 4.9)$ . The minimum value, which is about  $-1$ , occurs at  $(1, 3.9)$ .

15.2/10


contour diagram  
for  $x^2 - y^2$   
(a saddle)



The global max. is 1, and it occurs at  $(1, 0)$  and  $(-1, 0)$ .  
The global min. is -1, and it occurs at  $(0, -1)$  and  $(0, 1)$ .  
(All the extreme points are on the boundary.)



## Problems

 The variables are  $a$  and  $b$ , so we set

$$\frac{\partial S}{\partial a} = 2(a+b) + 8(4a+b-2) + 18(9a+b-4) = 0$$

$$\frac{\partial S}{\partial b} = 2(a+b) + 2(4a+b-2) + 2(9a+b-4) = 0,$$

so, collecting terms and dividing by 4 and 2 respectively,

$$49a + 7b - 22 = 0$$

$$14a + 3b - 6 = 0.$$

Solving gives  $a = 24/49$ ,  $b = -2/7$ .

Since there is only one critical point and  $S$  is unbounded as  $a, b \rightarrow \infty$ , this critical point is the global minimum. Therefore, the best fitting parabola is

$$y = \frac{24}{49}x^2 - \frac{2}{7}.$$

13. Let the sides be  $x, y, z$  cm. Then the volume is given by  $V = xyz = 32$ .  
The surface area  $S$  is given by

$$S = 2xy + 2xz + 2yz.$$

Substituting  $z = 32/(xy)$  gives

$$S = 2xy + \frac{64}{y} + \frac{64}{x}.$$

At a critical point,

$$\frac{\partial S}{\partial x} = 2y - \frac{64}{x^2} = 0$$

$$\frac{\partial S}{\partial y} = 2x - \frac{64}{y^2} = 0,$$

The symmetry of the equations (or by dividing the equations) tells us that  $x = y$  and

$$2x - \frac{64}{x^2} = 0$$

$$x^3 = 32$$


$$x = 32^{1/3} = 3.17 \text{ cm.}$$

Thus the only critical point is  $x = y = (32)^{1/3}$  cm and  $z = 32 / ((32)^{1/3} \cdot (32)^{1/3}) = (32)^{1/3}$  cm. At the critical point

$$S_{xx}S_{yy} - (S_{xy})^2 = \frac{128}{x^3} \cdot \frac{128}{y^3} - 2^2 = \frac{(128)^2}{x^3y^3} - 4.$$

Since  $D > 0$  and  $S_{xx} > 0$  at this critical point, the critical point  $x = y = z = (32)^{1/3}$  is a local minimum. Since  $S \rightarrow \infty$  as  $x, y \rightarrow \infty$ , the local minimum is a global minimum.

15.2/21

 Let the sides of the base be  $x$  and  $y$  cm. Let the height be  $z$  cm. Then the volume is given by  $xyz = 32$  and the surface area,  $S$ , is given by

$$S = xy + 2xz + 2yz.$$

Substituting  $z = 32/(xy)$  gives

$$S = xy + \frac{64}{y} + \frac{64}{x}.$$

At a critical point

$$\frac{\partial S}{\partial x} = y - \frac{64}{x^2} = 0$$

$$\frac{\partial S}{\partial y} = x - \frac{64}{y^2} = 0.$$

The symmetry of the equations tells us that  $x = y$  and

$$\begin{aligned}x - \frac{64}{x^2} &= 0 \\x^3 &= 64 \\x &= 4 \text{ cm.}\end{aligned}$$

Thus the only critical point is  $x = y = 4$  cm and  $z = 32/(4 \cdot 4) = 2$  cm. At the critical point

$$D = S_{xx}S_{yy} - (S_{xy})^2 = \frac{128}{x^3} \cdot \frac{128}{y^3} - 1^2 = \frac{(128)^2}{x^3y^3} - 1.$$

Since  $D > 0$  and  $S_{xx} > 0$  at this critical point, the critical point  $x = y = 4$ ,  $z = 2$  is a local minimum. Since  $S \rightarrow \infty$  as  $x, y \rightarrow \infty$ , the local minimum is a global minimum.

15. If the coordinates of the corner on the plane are  $(x, y, z)$ , the volume of the box is  $V = xyz$ . Since  $z = 1 - 3x - 2y$  on the plane, the volume is given by

$$V = xy(1 - 3x - 2y) = xy - 3x^2y - 2xy^2.$$

The domain is the triangular region  $0 \leq x \leq \frac{1}{3}$ ,  $0 \leq y \leq (1 - 3x)/2$ . At a critical point,

$$\begin{aligned}\frac{\partial V}{\partial x} &= y - 6xy - 2y^2 = y(1 - 6x - 2y) = 0 \\ \frac{\partial V}{\partial y} &= x - 3x^2 - 4xy = x(1 - 3x - 4y) = 0,\end{aligned}$$

One solution is  $x = y = 0$ . Another is  $x = 0$ ,  $y = \frac{1}{2}$ ; another is  $y = 0$ ,  $x = \frac{1}{3}$ . Another is the solution of

$$\begin{aligned}1 - 6x - 2y &= 0 \\ 1 - 3x - 4y &= 0,\end{aligned}$$

namely  $x = \frac{1}{9}$ ,  $y = \frac{1}{6}$ .

If either  $x = 0$  or  $y = 0$ , then  $V = 0$ , so these solutions do not give the maximum volume. Since

$$D = V_{xx}V_{yy} - (V_{xy})^2 = (-6y)(-4x) - (1 - 6x - 4y)^2$$

$$D\left(\frac{1}{9}, \frac{1}{6}\right) = \left(-6 \cdot \frac{1}{6}\right)\left(-4 \cdot \frac{1}{9}\right) - \left(1 - 6 \cdot \frac{1}{9} - 4 \cdot \frac{1}{6}\right)^2 = \frac{4}{9} - \frac{1}{9} = \frac{1}{3} > 0,$$

and  $V_{xx}\left(\frac{1}{9}, \frac{1}{6}\right) = -1 < 0$ , the point  $x = \frac{1}{9}$ ,  $y = \frac{1}{6}$  is a local maximum at which  $V = (1/9)(1/6) - 3(1/9)^2(1/6) - 2(1/9)(1/6)^2 = 1/162$ .

Since all points on the boundary of the domain give  $V = 0$ , the local maximum is a global maximum.

15.2/28

The square of the distance from the point  $(x, y, z)$  to the origin is

$$S = x^2 + y^2 + z^2.$$

If the point is on the plane,  $z = 1 - 3x - 2y$ , we have

$$S = x^2 + y^2 + (1 - 3x - 2y)^2.$$

At the critical point

$$\begin{aligned}\frac{\partial S}{\partial x} &= 2x + 2(1 - 3x - 2y)(-3) = 2(10x + 6y - 3) = 0 \\ \frac{\partial S}{\partial y} &= 2y + 2(1 - 3x - 2y)(-2) = 2(6x + 5y - 2) = 0.\end{aligned}$$

Simplifying gives

$$\begin{aligned}10x + 6y &= 3 \\ 6x + 5y &= 2,\end{aligned}$$

with solution  $x = 3/14$ ,  $y = 1/7$ . At this point  $z = 1/14$ . We have

$$D = S_{xx}S_{yy} - (S_{xy})^2 = (20)(10) - 12^2 = 56.$$

so  $D > 0$  and  $S_{xx} > 0$ . Thus, the point  $x = 3/14$ ,  $y = 1/7$  is a local minimum. Since  $S \rightarrow \infty$  as  $x, y \rightarrow \pm\infty$ , the local minimum is a global minimum. Thus,  $x = 3/14$ ,  $y = 1/7$ ,  $z = 1/14$  is the closest point to the origin on the plane.

Since  $w, h \neq 0$ , we can divide the first equation by the second giving

$$\frac{2wh^2}{2hw^2} = \frac{2048}{1024},$$

so

$$\frac{h}{w} = 2,$$

thus

$$h = 2w.$$

Substituting this in  $C_h = 0$ , we obtain  $h^3 = 2048$ , so  $h = 12.7$  cm. Thus  $w = h/2 = 6.35$  cm, and  $l = 512/(wh) = 6.35$  cm. Now we check that these dimensions minimize the cost  $C$ . We find that

$$D = C_{hh}C_{ww} - C_{hw}^2 = \left(\frac{4096}{h^3}\right)\left(\frac{2048}{w^3}\right) - 2^2,$$

and at  $h = 12.7$ ,  $w = 6.35$ ,  $C_{hh} > 0$  and  $D = 16 - 4 > 0$ , thus  $C$  has a local minimum at  $h = 12.7$  and  $w = 6.35$ . Since  $C$  increases without bound as  $w, h \rightarrow 0$  or  $\infty$ , this local minimum must be a global minimum.

Therefore, the dimensions of the box that minimize the cost are  $w = 6.35$  cm,  $l = 6.35$  cm and  $h = 12.7$  cm.

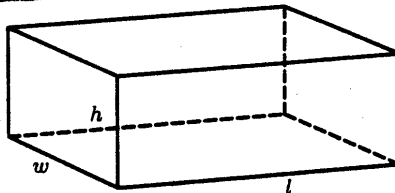


Figure 15.12

Let  $w$ ,  $h$  and  $l$  be width, height and length of the suitcase in cm. Then its volume  $V = lwh$ , and  $w + h + l \leq 135$ . To maximize the volume  $V$ , choose  $w + h + l = 135$ , and thus  $l = 135 - w - h$ ,

$$\begin{aligned} V &= wh(135 - w - h) \\ &= 135wh - w^2h - wh^2 \end{aligned}$$

Differentiating gives

$$\begin{aligned} V_w &= 135h - 2wh - h^2, \\ V_h &= 135w - w^2 - 2wh. \end{aligned}$$

Find the critical points by solving  $V_w = 0$  and  $V_h = 0$ :

$$\begin{aligned} V_w = 0 &\text{ gives } 135h - h^2 = 2wh, \\ V_h = 0 &\text{ gives } 135w - w^2 = 2wh. \end{aligned}$$

As  $hw \neq 0$ , we cancel  $h$  (and  $w$  respectively) in the above equations and get

$$\begin{aligned} 135 - h &= 2w \\ 135 - w &= 2h \end{aligned}$$

Subtracting gives

$$w - h = 2(w - h)$$

hence  $w = h$ . Therefore, substituting into the equation  $V_w = 0$

$$135h - h^2 = 2h^2$$

and therefore

$$3h^2 = 135h.$$

15.2/26

Since  $h \neq 0$ , we have

$$h = \frac{135}{3} = 45.$$

So  $w = h = 45$  cm. Thus,  $l = 135 - w - h = 45$  cm. To check that this critical point is a maximum, we find

$$\begin{aligned} V_{ww} &= -2h, & V_{hh} &= -2w, \\ V_{wh} &= 135 - 2w - 2h, \end{aligned}$$

so

$$D = V_{ww}V_{hh} - V_{wh}^2 = 4hw - (135 - 2w - 2h)^2.$$

At  $w = h = 45$ , we have  $V_{ww} = -2(45) < 0$  and  $D = 4(45)^2 - (135 - 90 - 90)^2 = 6075 > 0$ , hence  $V$  is maximum at  $w = h = l = 45$ .

Therefore, the suitcase with maximum volume is a cube with dimensions width = height = length = 45 cm.

✗ Let  $P(K, L)$  be the profit obtained using  $K$  units of capital and  $L$  units of labor. The cost of production is given by

$$C(K, L) = kK + \ell L,$$

and the revenue function is given by

$$R(K, L) = pQ = pAK^a L^b.$$

Hence, the profit is

$$P = R - C = pAK^a L^b - (kK + \ell L).$$

In order to find local maxima of  $P$ , we calculate the partial derivatives and see where they are zero. We have:

$$\begin{aligned} \frac{\partial P}{\partial K} &= apAK^{a-1}L^b - k, \\ \frac{\partial P}{\partial L} &= bpAK^a L^{b-1} - \ell. \end{aligned}$$

The critical points of the function  $P(K, L)$  are solutions  $(K, L)$  of the simultaneous equations:

$$\begin{aligned} \frac{k}{a} &= pAK^{a-1}L^b, \\ \frac{\ell}{b} &= pAK^a L^{b-1}. \end{aligned}$$

Multiplying the first equation by  $K$  and the second by  $L$ , we get

$$\frac{kK}{a} = \frac{\ell L}{b},$$

and so

$$K = \frac{\ell a}{kb} L.$$

Substituting for  $K$  in the equation  $k/a = pAK^{a-1}L^b$ , we get:

$$\frac{k}{a} = pA \left( \frac{\ell a}{kb} \right)^{a-1} L^{a-1} L^b.$$

We must therefore have

$$L^{1-a-b} = pA \left( \frac{a}{k} \right)^a \left( \frac{\ell}{b} \right)^{a-1}.$$

Hence, if  $a + b \neq 1$ ,

$$L = \left[ pA \left( \frac{a}{k} \right)^a \left( \frac{\ell}{b} \right)^{(a-1)} \right]^{1/(1-a-b)},$$

and

$$K = \frac{\ell a}{kb} L = \frac{\ell a}{kb} \left[ pA \left( \frac{a}{k} \right)^a \left( \frac{\ell}{b} \right)^{(a-1)} \right]^{1/(1-a-b)}$$

Then,  $C = e^{5.20} = 181.3$  and so

$$P(t) = 181.3e^{0.0114t}$$

In 1990, we have  $t = 30$  and the predicted population in millions is

$$P(30) = 181.3e^{0.01141(30)} = 255.3.$$

- (b) The difference between the actual and the predicted population is about 6 million or  $2\frac{1}{2}\%$ . Given that only three data points were used to calculate  $a$  and  $c$ , this discrepancy is not surprising. Thus, the 1990 census, data does not mean that the assumption of exponential growth is unjustified.
- (c) In 2010, we have  $t = 50$  and  $P(50) = 320.7$ .

15.2/38

We have

$$f_x = 2x(y+1)^3 = 0 \quad \text{only when } x = 0 \text{ or } y = -1$$

$$f_y = 3x^2(y+1)^2 + 2y = 0 \quad \text{never when } y = -1 \text{ and only for } y = 0 \text{ when } x = 0$$

We conclude that  $f_x = 0$  and  $f_y = 0$  only when  $x = 0$ ,  $y = 0$ , so  $f$  has only one critical point, namely  $(0, 0)$ .

The second derivative test at  $(0, 0)$  gives

$$\begin{aligned} D &= f_{xx}f_{yy} - (f_{xy})^2 = 2(y+1)^3(6x^2(y+1) + 2) - (6x(y+1)^2)^2 \\ &= 2(1)(2) - 0 > 0 \quad \text{when } x = 0, y = 0 \end{aligned}$$

Since  $f_{xx} > 0$  at  $(0, 0)$ , this means  $f$  has a local minimum at  $(0, 0)$ .

[Alternatively, if we expand  $(y+1)^3$ , then we can view  $f(x, y)$  as  $x^2 + y^2 +$  (terms of degree 3 or greater in  $x$  and  $y$ ), which means that  $f$  behaves like  $x^2 + y^2$  near  $(0, 0)$ .]

Although  $(0, 0)$  is a local minimum, it cannot be a global minimum since for fixed  $x$ , say  $x = 1$ , the function  $f(x, y)$  is a cubic polynomial in  $y$  and cubics take on arbitrarily large positive and negative values.

In the single-variable case, suppose a function  $f$  defined on the real line is differentiable and its derivative is continuous. Then if  $f$  has only one critical point, say  $x = 0$ , then if that critical point is a local minimum, it must also be a global minimum. This is because  $f'$  cannot change sign without  $f' = 0$  so we must have  $f' < 0$  for  $x < 0$  and  $f' > 0$  for  $x > 0$ . Thus  $f$  is decreasing for all  $x < 0$  and increasing for all  $x > 0$ , which makes  $x = 0$  the global minimum for  $f$ .

28. (a) We have  $f(2, 1) = 120$ .

(i) If  $x > 20$  then  $f(x, y) > 10x > 200 > f(2, 1)$ .

(ii) If  $y > 20$  then  $f(x, y) > 20y > 400 > f(2, 1)$ .

(iii) If  $x < 0.01$  and  $y \leq 20$  then  $f(x, y) > 80/(xy) > 80/((0.01)(20)) = 400 > f(2, 1)$ .

(iv) If  $y < 0.01$  and  $x \leq 20$  then  $f(x, y) > 80/(xy) > 80/((20)(0.01)) = 400 > f(2, 1)$ .

(b) The continuous function  $f$  must achieve a minimum at some point  $(x_0, y_0)$  in the closed and bounded region  $R'$ :  $0.01 \leq x \leq 20$ ,  $0.01 \leq y \leq 20$ . Since  $(2, 1)$  is in  $R'$ , we must have  $f(x_0, y_0) \leq f(2, 1)$ . By part (a),  $f(x_0, y_0)$  is less than all values of  $f$  in the part of  $R$  that is outside  $R'$ , so  $f(x_0, y_0)$  is a minimum for  $f$  on all of  $R$ . Since  $(x_0, y_0)$  is not on the boundary of  $R$ , it must be a critical point of  $f$ .

(c) The only critical point of  $f$  in  $R$  is the point  $(2, 1)$ , so by part (b)  $f$  has a global minimum there.

29. (a) The function  $f$  is continuous in the region  $R$ , but  $R$  is not closed and bounded so a special analysis is required.

Notice that  $f(x, y)$  tends to  $\infty$  as  $(x, y)$  tends farther and farther from the origin or tends toward any point on the  $x$  or  $y$  axis. This suggests that a minimum for  $f$ , if it exists, can not be too far from the origin or too close to the axes. For example, if  $x > 10$  then  $f(x, y) > 4x > 40$ , and if  $y > 10$  then  $f(x, y) > 5y > 50$ . If  $0 < x < 0.1$  then  $f(x, y) > 2/x > 20$ , and if  $0 < y < 0.1$  then  $f(x, y) > 3/y > 30$ .

Since  $f(1, 1) = 14$ , a global minimum for  $f$  if it exists must be in the smaller region  $R'$ :  $0.1 \leq x \leq 10$ ,  $0.1 \leq y \leq 10$ . The region  $R'$  is closed and bounded and so  $f$  does have a minimum value at some point in  $R'$ , and since that value is at most 14, it is also a global minimum for all of  $R$ .

(b) Since the region  $R$  has no boundary, the minimum value must occur at a critical point of  $f$ . At a critical point we have

$$f_x = -\frac{2}{x^2} + 4 = 0 \quad f_y = -\frac{3}{y^2} + 5 = 0.$$

The only critical point is  $(\sqrt{1/2}, \sqrt{3/5}) \approx (0.7071, 0.7746)$ , at which  $f$  achieves the minimum value  $f(\sqrt{1/2}, \sqrt{3/5}) = 4\sqrt{2} + 2\sqrt{15} \approx 13.403$ .